

SASB Index

Topic	Description	Code	Annual report 2020 (page)	Cross reference GRI (In Annual Report 2020, page 75-78)	Comment
ASSET MANAGEMENT & CUSTODY ACTIVITIES SASB Industry accounting standard 2018-10					
Employee Diversity & Inclusion	Percentage of gender (and racial/ethnic group) representation for (1) executive management, (2) non-executive management, (3) professionals, and (4) all other employees	FN-AC-330a.1	14-15, 24-27, 46, 50-51, 58	103-1 – 103-3 405-1	
Incorporation of Environmental, Social, and Governance Factors in Investment Management & Advisory	Amount of assets under management, by asset class, that employ (1) integration of environmental, social, and governance (ESG) issues, (2) sustainability themed investing, and (3) screening	FN-AC-410a.1	14-19		
	Description of approach to incorporation of environmental, social, and governance (ESG) factors in investment and/or wealth management processes and strategies	FN-AC-410a.2	14-19, 25	FS 10	Sustainability issues are addressed in all portfolio companies within the framework of Industrivärden's analysis and influence activities. Ref: Annual Report 2020.
	Description of proxy voting and investee engagement policies and procedures	FN-AC-410a.3	73		
Business Ethics	Total amount of monetary losses as a result of legal proceedings associated with fraud, insider trading, anti-trust, anti-competitive behavior, market manipulation, malpractice, or other related financial industry laws or regulations	FN-AC-510a.1		419-1	Industrivärden has not been assessed any fines or other sanctions.
	Description of whistleblower policies and procedures	FN-AC-510a.2	24, Code of Conduct (on corporate web site).		
Systemic Risk Management	Percentage of open-end fund assets under management by category of liquidity classification	FN-AC-550a.1	33	FS 6	
	Description of approach to incorporation of liquidity risk management programs into portfolio strategy and redemption risk management	FN-AC-550a.2	25, 65		

	Total exposure to securities financing transactions	FN-AC-550a.3	65		
Activity metrics	(1) Total registered and (2) total unregistered assets under management (AUM)	FN-AC-000.A	33	FS 6	All of the portfolio companies are listed on Nasdaq OMX Nordic Stockholm.
	Total assets under custody and supervision	FN-AC-000.B	27, 53–67	201-1	
SASB Topics omitted					
Transparent Information & Fair Advice for Customers	(1) Number and (2) percentage of covered employees with a record of investment-related investigations, consumer-initiated complaints, private civil litigations, or other regulatory proceedings	FN-AC-270a.1	n/a		Industrivärden has no customers.
	Total amount of monetary losses as a result of legal proceedings associated with marketing and communication of financial productrelated information to new and returning customers	FN-AC-270a.2	n/a		Industrivärden has no customers.
	Description of approach to informing customers about products and services	FN-AC-270a.3	n/a		Industrivärden has no customers.
Systemic Risk Management	Net exposure to written credit derivatives	FN-AC-550a.4	n/a		Industrivärden has no exposure to written credit derivatives.

